

Application and ISA transfer form



How to complete this form

- 1 Please read the current Octopus AIM Inheritance Tax ISA brochure.
- 2 Write in BLOCK CAPITALS and use BLACK ink.
- 3 Make sure you answer all questions marked with an asterisk (*).
- 4 Leave blank any boxes that don't apply to you.
- 5 Don't forget to include your cheque/banker's draft.
- 6 Send your completed form (with payment) to:

Octopus Investments Limited
PO Box 10847
Chelmsford
CM99 2BU

Section 2 – About the investment

* Are you making a new ISA subscription?

No Yes - amount £

Your ISA subscription and/or transfer(s) must add up to at least the minimum investment of £20,000.

If you are topping up your existing Octopus AIM Inheritance Tax ISA, the minimum amount you can top up is £20,000.

The amount of your ISA subscription cannot exceed the maximum ISA allowance for the tax year.

* Please specify which tax year this subscription applies to (eg 2019/2020)

/

Cheque/banker's draft, bank transfer or transfer of funds? (Tick one box only)

Cheque/banker's draft

Please enclose a cheque from a personal account, made payable to 'Octopus Investments Client Money Account'. We do not accept cheques from business accounts, third parties (including your spouse) or post-dated cheques. Banker's drafts or building society cheques must specifically mention the investor's name.

Bank transfer

Please transfer your investment to the following account after first sending in your completed application form and identification, making sure that you **reference the payment with your name**:

Sort code:	40-03-28	Bank:	HSBC
Account number:	22177056	Branch:	Holborn

Payments need to come from your personal account (we do not accept payments from business accounts).

Transfer of funds

Please transfer funds from another on a one-off basis. I am aware this may incur tax charges before funds are invested in my ISA.

Do you want to transfer any existing ISA investments with other providers into your Octopus AIM Inheritance Tax ISA?

Number of ISA transfers Estimated total amount

£

Please provide details of your transfers in the 'Authorisation to transfer from another provider' forms, later in this application.

Your ISA subscription and/or transfer(s) must add up to at least the minimum investment of £20,000.

* Do you want to take out a fixed amount from your portfolio as a regular withdrawal?

No Yes – regular withdrawals can only be paid into a bank account. Make sure you've entered your account details below.

How much do you want to withdraw? £ Starting from (MM YYYY)

How often would you like the above amount to be paid? Monthly Quarterly Semi-annually Annually

Please give us details of the bank account you would like any future proceeds and/or regular withdrawals to be paid into

Account name

Bank or building society name

Account number

Sort code

Branch address

Country

Postcode

Authorisation to transfer from another provider

You can use this form to transfer an existing ISA into your Octopus AIM Inheritance Tax ISA. If you are transferring more than one ISA, please complete a new authorisation form for each ISA you are transferring. **This form must be used in conjunction with either the full Octopus AIM Inheritance Tax ISA application form or top-up form, depending on whether you are opening a new portfolio or adding to your existing investment. Please complete all fields.** Go to octopusinvestments.com/investor/document-library to find copies of these forms.

Section 1 – About the investor

Title (Mr/Mrs/Miss/Ms/Other)

First name(s)

Last name

Date of birth DD MM YYYY

Postcode

National Insurance number

Section 2 – Authorisation to transfer from another provider

I have a (tick one box only) Stocks and shares ISA, **OR** Cash ISA

Please transfer my ISA

In full, **OR** In part - please complete the below section, and check with your existing ISA Manager that they can accommodate a partial transfer.

ISA INVESTMENTS TO BE TRANSFERRED IN PART

Please transfer % of my total ISA holding, **OR** please transfer specific tax years as detailed below (e.g. 2016/17).

1. / 2. / 3. /

4. / Estimated value of transfer £

Section 3 – Details of current ISA Manager

Company name

Account number of the ISA to be transferred

Sort code (If your ISA is held by a bank)

Telephone number

Address

Country

Postcode

Section 4 – Transfer instruction

I hereby instruct my current ISA Manager to transfer the ISA referred to in this form, to Octopus Investments Limited (my new Manager) by liquidating the assets and forwarding the proceeds to Octopus Investments Limited. I authorise my existing ISA Manager to provide Octopus Investments Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them.

Applicant signature

Date signed DD MM YYYY

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Authorisation to transfer from another provider

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Date signed DD MM YYYY

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Before sending us your application, please review the quick checklist below to help us process your application as quickly as possible:

- Unless this is a direct investment, your adviser or intermediary has signed and dated where indicated in **Section 6**.
- You (or your Attorney) have signed the declaration in **Section 7**.
- You have enclosed the necessary verification of identity documentation which must be certified by a regulated individual (e.g. financial adviser, solicitor or accountant):
 - an Identity Verification Certificate or one each of the following:
 - a certified copy of identification (your passport or driving licence) plus;
 - a certified copy of proof of address (driving licence, bank statement or recent utility bill (not mobile phone))

The original signature should be on each page of the document (not a photocopy).

- If you are acting under a Power of Attorney, you have provided:
 - a certified copy of your Power of Attorney **plus**;
 - the necessary verification of identity documentation (please see above) for each Attorney
- If you are a direct investor or this is a non-advised investment, you have completed and enclosed the client suitability questionnaire (available at octopusinvestments.com or you can call **0800 316 2295** for a copy).
- If you are transferring from another provider, you have completed the 'Authorisation to transfer from another provider' form.
- Once complete please send your completed application form to:

Octopus Investments Limited
PO Box 10847
Chelmsford
CM99 2BU

What happens next?

- 1** We will send you an acknowledgement that we have received your application, and will request any transfer of funds from your current ISA manager(s).
- 2** We will start investing your funds as soon as we receive the minimum investment amount. It can take up to eight weeks until your money is fully invested.
- 3** We will send you confirmation once your funds have been invested.
- 4** We will send you a quarterly report on your portfolio. You can also check your account online through our secure website.



Got a question?

We don't offer financial or tax advice, which is why we always recommend talking to a qualified financial adviser before making any investment decisions. However, if you have any other questions about the Octopus AIM Inheritance Tax ISA, please call us on **0800 316 2295**. We're always happy to hear from you.



0800 316 2295
investorsupport@octopusinvestments.com
[octopusinvestments.com](https://www.octopusinvestments.com)



Octopus Investments,
33 Holborn,
London EC1N 2HT