

How to complete this form

Please complete all sections, making sure you provide the required documentation as directed in **Section 3**. Once completed, you can email this to us at investorsupport@octopusinvestments.com, or post to: **Octopus Investments Limited, PO Box 10847, Chelmsford CM99 2BU**.

If you need to register more than two Attorneys, please complete another form.

Section 1 – About the Attorney(s)

First Attorney

Second Attorney (if applicable)

Title
(Mr/Mrs/Miss/Ms/Other)

First name(s)

Last name

Date of birth
DD MM YYYY

National Insurance number

Country of birth

Country(ies) of citizenship

Nationality

If you hold dual nationality or are not a British national, we may contact you for further information.

Telephone numbers Country code Telephone number

Country code Telephone number

Primary

Secondary

Email address

Address

Country

Postcode

Is this a new or existing Octopus investor? Existing investor New investor

Please provide the name and portfolio number(s) of the investor you are acting on behalf of

Investor name

Investor date of birth DD MM YYYY **Portfolio number(s)** (existing investors only)

Who should we send correspondence to? (please note, we can only facilitate correspondence to one of the below)

The investor First Attorney Second Attorney

Please confirm if you would like the Power of Attorney (POA) documents returned to you

Yes – please tick this box and provide the address below No

Address

Country

Postcode

Section 2 – Tax Residency Status

Octopus Investments is required by UK law to collect information about the tax residency and tax classification status of its investors and Attorney(s).

In certain circumstances it may be necessary for us to share this information with HM Revenue and Customs (HMRC), which is also obliged to share relevant information with tax authorities in other jurisdictions. If you have any questions about tax residence, we recommend that you contact your adviser.

2.1 Are you tax resident, or do you complete tax returns, in any country other than the UK?

First Attorney

Second Attorney

No – please tick this box and continue to **Section 3**.

No – please tick this box and continue to **Section 3**.

If your country of birth or country of citizenship is outside of the UK, please send us a certified copy of a valid UK ID, such as a UK driving licence or passport, or a certified letter from HMRC, to confirm your UK tax residency status.

Yes – please complete **2.2** below.

Yes – please complete **2.2** below.

2.2 Please list the country(ies), other than the UK, in which you are resident for tax purposes. Please provide the Taxpayer Identification Number (TIN) or functional equivalent for each country(ies), or tick the relevant box if the country does not provide a TIN.

Power of Attorney name

Country(ies)

Taxpayer Identification Number (TIN)

TIN not available

Power of Attorney name

Country(ies)

Taxpayer Identification Number (TIN)

TIN not available

Power of Attorney name

Country(ies)

Taxpayer Identification Number (TIN)

TIN not available

Power of Attorney name

Country(ies)

Taxpayer Identification Number (TIN)

TIN not available

Section 3 – Documentation and identification

Please confirm which documents and identification you are providing.

Please note, if you're sending a scanned copy of the POA document, it will take longer to process. We'll need to contact the Office of the Public Guardian to verify it, meaning we'll be reliant on their turnaround times.

I am providing:

An original POA document. **OR**

A certified copy of the POA document.

Please note, the certification must be on every page with a wet signature, printed name and date of certification by either:

- A Solicitor (active on the Law Society/SRA Register) **OR**
- A Notary Public (active on the Notaries Society register) **OR**
- The Donor (if they're still able to make their own decisions) **OR**

An LPA access code: V-

An LPA access code can be used in place of the copy of POA documents. Please see www.gov.uk/use-lasting-power-of-attorney for more information. **OR**

A Court of Protection document. The document must be embossed.

For identity verification I am providing:

An Octopus Identity Verification Certificate (IVC) for each Attorney completed by the investor's registered Financial Adviser (if applicable). **OR**

One form of ID for each Attorney (passport or driving licence). **AND**

One form of proof of address for each Attorney. We can accept a driving licence, a bank statement, or a recent utility bill (excluding mobile phone).

Section 4 – Declaration (to be completed by the Attorney(s) named in Section 1)

I declare that to the best of my knowledge and belief, the information I have provided in this form is true, correct and complete.

I understand that the information contained in this form and any information about the Investor and Attorney may be reported to the tax authorities of the country in which these accounts are maintained. They may also be exchanged with tax authorities of another country or countries in which the Attorney is a tax resident depending on the Intergovernmental Agreements (IGAs) that are in place.

First Attorney name

Second Attorney name

First Attorney signature

(If using Docusign, please provide the certificate of completion)

Second Attorney signature

(If using Docusign, please provide the certificate of completion)

Date signed DD MM YYYY

Date signed DD MM YYYY



0800 316 2295
investorsupport@octopusinvestments.com
octopusinvestments.com



Send completed form to:

Octopus Investments
PO Box 10847
Chelmsford CM99 2BU