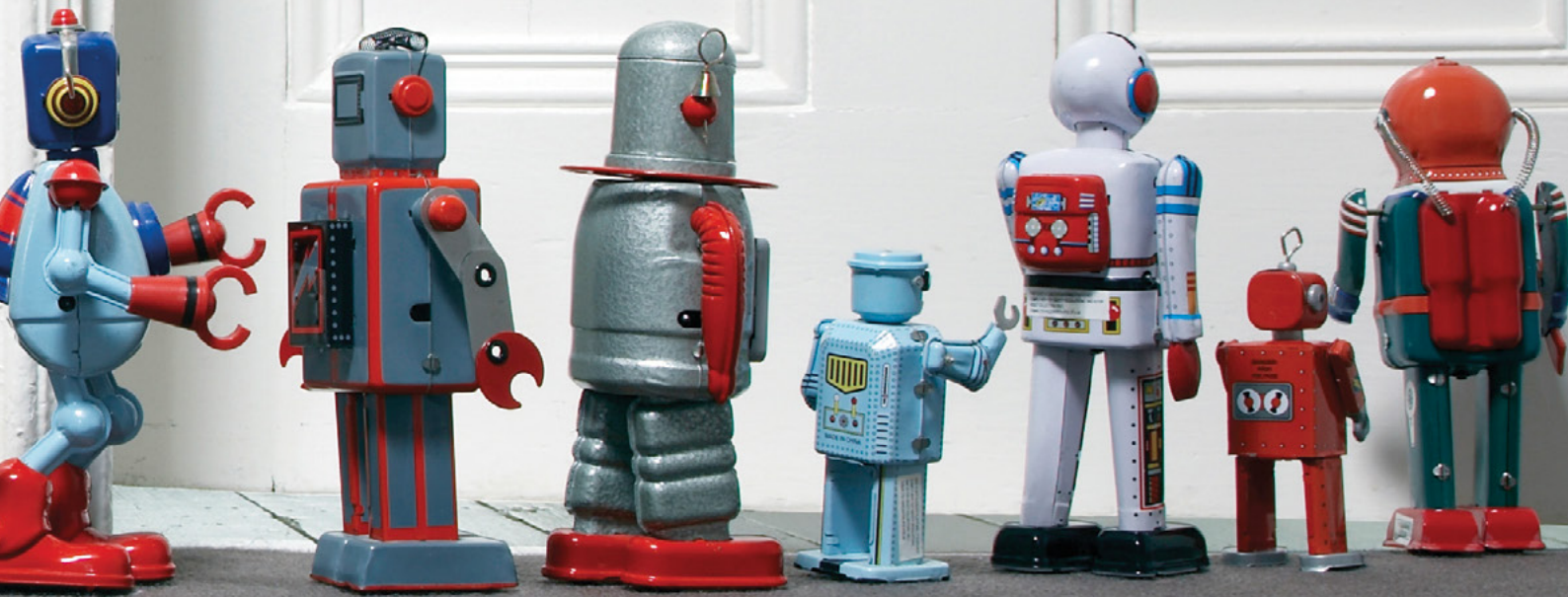


# Additional Permitted Subscriptions – Transfer Authority form



**octopus**investments

A brighter way

## How to complete this transfer form

Make sure you answer all the questions marked with an '\*'. Leave blank any boxes that don't apply to you. Once completed, please send this form to: **Octopus Investments Limited, PO Box 10847, Chelmsford CM99 2BU.**

**This form is to transfer an Additional Permitted Subscription (APS) allowance from another ISA manager to be used to invest in the Octopus AIM Inheritance Tax ISA.**

APS enables a new ISA or ISAs to be opened by the surviving spouse or civil partner of a deceased ISA holder up to the value of the deceased's ISA when they passed away.

If you are the spouse or civil partner, you can use this form to authorise Octopus to request the transfer of the APS allowance from the manager of the deceased's ISA in order to invest in the Octopus AIM Inheritance Tax ISA.

Once we receive the transfer confirmation from the other manager(s) we will send you confirmation of your maximum APS allowance and an application form which you will need to complete. You do not need to subscribe funds until you have completed the application form, which includes a section for you to confirm how much you would like to invest.

### Section 1 – Details of the person who will invest into the Octopus AIM Inheritance Tax ISA

\* Investor full name

\* Date of birth DD MM YYYY

\* National Insurance number or confirmation that the investor does not have one

\* Existing APS ISA portfolio number Please complete if you already have an APS portfolio with Octopus Investments

\* Permanent residential address

\* Country

\* Postcode

Is there a registered Power of Attorney in place for this application?

No Yes – who should we send correspondence to? The investor The Attorney

Please note, if you are acting as Attorney, please include a certified copy of the POA document and verification of identity documents for each Attorney. In all cases, Octopus will need to verify the identity of all attorneys and may run electronic searches to do so.

Attorney name

\* Attorney date of birth DD MM YYYY

If you'd like us to send correspondence to the Attorney, please give us their address (if different from the above).

\* Address

\* Country

\* Postcode

### Section 2 – Details of the deceased

\* Deceased's full name

\* Deceased's date of birth DD MM YYYY

\* Permanent residential address of the deceased at their date of death

\* Country

\* Postcode

\* Deceased's National Insurance number

\* Date of death DD MM YYYY

\* Date of marriage or civil partnership between the investor and the deceased DD MM YYYY

## Section 3 – APS allowance transfer information

\* Name of deceased's ISA manager

\* Address of deceased's ISA manager

\* Country

\* Postcode

Please be aware that an APS allowance can only be transferred once and only where no subscriptions have been made under that APS allowance. Please therefore make sure that you have read the brochure for the Octopus AIM Inheritance Tax ISA and want to make an investment before completing this form.

Note that Octopus can only facilitate one subscription per allowance transferred. If you only partially subscribe to the allowance you will be unable to contribute under this APS again. Other ISA managers may be able to facilitate multiple subscriptions into the same APS allowance.

\* Deceased's external ISA account number(s)

Please note if multiple ISAs were held by the deceased with the ISA manager, their value will be combined to form one APS allowance.

## Section 4 – APS eligibility declaration

\* Investor declaration

This section must be completed to confirm the investor named on this authority is eligible to transfer an APS allowance in respect of the deceased named on this authority.

I (the investor) declare that:

- I am the surviving spouse / civil partner of the deceased.
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down).
- I have not subscribed to and will not subscribe to the APS allowance with the existing ISA provider of the deceased in respect of the deceased named on this application.
- I intend to make an APS application to Octopus Investments.
- I authorise the existing ISA provider of the deceased as specified above to provide Octopus Investments with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.
- I declare that this APS transfer application form has been completed to the best of my knowledge and belief.

Investor name

Investor/Attorney signature

\* Date signed DD MM YYYY

Please note that if you are a direct investor, you are required to complete a client suitability questionnaire.

If this is not enclosed with your transfer form it may cause delays in completing your APS transfer. This questionnaire can be found at [octopusinvestments.com](https://octopusinvestments.com).

## Section 5 – Transfer acceptance

Octopus use only

We (Octopus Investments) are willing to accept this APS allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an APS application from the investor.



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## Before sending us your application, please review the quick checklist below to help us process your application as quickly as possible:

You've read and understood the Octopus AIM Inheritance Tax ISA brochure as available from your adviser / intermediary or from **octopusinvestments.com**.

You (or your Attorney) have signed the declaration.

You've answered all the questions that apply to you.

If you are acting under a Power of Attorney, you have provided:

- a certified copy of your Power of Attorney plus;
- the necessary verification of identity documentation (please see above) for each Attorney

If you are a direct investor, you have completed and enclosed the client suitability questionnaire (available at **octopusinvestments.com** or you can call **0800 294 6826** for a copy).

**Octopus Investments Limited**  
**PO BOX 10847**  
**Chelmsford**  
**CM99 2BU**

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## What happens next?

- 1 We will send your transfer authority to the ISA manager(s) of the deceased to request transfer of the APS allowance.
- 2 Once this is received we will send you a partly completed subscription form which you will need to return confirming the amount you would like to subscribe to the APS allowance.
- 3 Note that you are only able to make one subscription per allowance transferred. If you only partially subscribe to the allowance you will be unable to contribute under this APS again.

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## Got a question?

We don't offer financial or tax advice, which is why we always recommend talking to a qualified financial adviser before making any investment decisions. However, if you have any other questions about Octopus AIM Inheritance Tax ISA, please call us on **0800 294 6826**. We're always happy to hear from you.



0800 294 6826  
estatesandprobate@octopusinvestments.com  
**octopusinvestments.com**



**Send completed form to:**  
Octopus Investments  
PO Box 10847  
Chelmsford CM99 2BU